DT07 Rec'd PCT/PTO 0 4 MAR 2005

PTO-1390 (Rev. 02-2005)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE collection of information unless it displays a valid OMB control number.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid office for number. ATTORNEY'S DOCKET NUMBER			
TRANSMITTAL LETTER TO THE UNITER STATES			120736.00103
TRANSMITTAL LETTER TO THE UNITED STATES			U.S. APPLICATION NO. (if known, see 37 CFR 1.5)
CONCERNING A FILING UNDER 35 U.S.C. 371			10/526488
INTERNATI	ONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
1	PCT/JP03/11535	10 September 2003	10 September 2002
TITLE OF INVENTION HEAT EXCHANGER AND METHOD OF MANUFACTURING THE SAME			
APPLICANT(S) FOR DO/EO/US Takahide MAEZAWA and Masanori TSUJI			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
1. This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.			
2.□	This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.		
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.			
4. The US has been elected (Article 31).			
5.⊠	A copy of the International Application as filed (35 U.S.C. 371(c)(2)) [WO 2004/025207]		
a.	is attached hereto (required only if not communicated by the International Bureau).		
b.			
c.	is not required, as the application was filed in the United States Receiving Office (RO/US).		
6.⊠	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).		
, a.	is attached hereto [34 pages of Specification, 13 claims and 12 sheets of formal drawings].		
b.	Has been previously submitted under 35 U.S.C. 154(d)(4).		
7.	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))		
a.	are attached hereto (required only if not communicated by the International Bureau).		
b.	have been communicated by the International Bureau.		
C.	have not been made; however, the time limit for making such amendments has NOT expired.		
`\ d.	have not been made and will not be made.		
8. 🗌	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).		
	An executed oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).		
10. 🗌	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).		
Items 11 to 20 below concern document(s) or information included:			
		atement under 37 CFR 1.97 and 1.9	
12. 🛛	An assignment document for	recording. A separate cover sheet	in compliance with 37 CFR 3.28 and 3.31 is included.
13. 🗌	A preliminary amendment.		
14. 🗌	An Application Data Sheet under 37 CFR 1.76.		
15.	A substitute specification.		
16. 🗌	A power of attorney and/or change of address letter.		
17. 🗌	A computer readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825.		
18.□	A second copy of the published international application under 35 U.S.C. 154(d)(4).		
19. 🗌	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4)		
20. 🖾	Other items or information:		
	WO 2004/025207; Form PCT/IB/301, Notificat Form PCT/IPEA/409, Interr	ion of Receipt of Record Copy; and national Preliminary Examination Re	eport (in Japanese).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions. burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless displays a valid OMB control number. U.S. APPLICATION NO, (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NO. PCT/JP03/11535 120736.00103 **PTO USE** The following fees have been submitted **CALCULATIONS ONLY** Basic national fee.....\$300.00 300.00 22. X Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)\$100.00 All other situations\$200.00 \$ 200.00 23. X Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority\$100.00 International Search Report prepared and provided to the Office\$400.00 400.00 <u>\$5</u>00.00 All other situations.. TOTAL OF 21, 22 and 23 = \$ 900.00 Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. RATE Number of each additional 50 or Total Sheets Extra Sheets fraction thereof (round up to a whole number) x \$250 /50 = - 100 = Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)). NUMBER FILED RATE NUMBER EXTRA CLAIMS \$ 50 **Total Claims** 13 - 20 = - 3 = \$200 Independent Claims 3 \$360 TOTAL OF ABOVE CALCULATIONS = Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. SUBTOTAL = \$ 900.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). **TOTAL NATIONAL FEE =** 40.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28,3.31). \$40.00 per property \$ 940.00 TOTAL FEES ENCLOSED = Amount to be refunded: Amount to be charged: ☑ Two checks are enclosed: \$ 900.00 to cover the application fee and \$40.00 for the recordation fee. to cover the above fees. A duplicate copy in the amount of \$ Please charge my Deposit Account No. of this sheet is enclosed. ☑ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-2185. A duplicate copy of this sheet is enclosed. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the international application to pending status. SEND ALL CORRESPONDENCE TO: Whate Mu Signature **CUSTOMER NO.: 27557 BLANK ROME LLP** Michael D. White Name 600 New Hampshire Avenue, N.W. Registration No. 32,795 Washington, D.C. 20037 Tel: (202) 772-5800 Date March 4, 2005 Fax: (202) 572-8398 FORM PTO-1390 (REV. 02-2005)